

**FEDERAL FINANCIAL REPORT**

(Follow form instructions)

1. Federal Agency and Organizational Element to Which Report is Submitted <b>ELECTION ASSISTANCE COMMISSION</b>				2. Federal Grant or Other Identifying Number Assigned by Federal Agency (To report multiple grants, use FFR Attachment) <b>ME11RP01</b>			
3. Recipient Organization (Name and complete address including Zip code) <b>SECRETARY OF STATE, MAINE DEPT OF THE</b> <b>101 STATE HOUSE STATION CROSS OFC B, AUGUSTA, ME 043330101</b>							
4a. UEI  <b>JNNMDL9K4517</b>	4b. EIN  <b>1016000001N3</b>	5. Recipient Account Number or Identifying Number (To report multiple grants, use FFR Attachment)  <b>E7655B1</b>		6. Report Type <input type="checkbox"/> Quarterly <input type="checkbox"/> Semi-Annual <input type="checkbox"/> Annual <input checked="" type="checkbox"/> Final	7. Basis of Accounting <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual		
8. Project/Grant Period (Month, Day, Year) From: <b>May 7, 2003</b> To: <b>September 30, 2009</b>			9. Reporting Period End Date (Month, Day, Year) <b>March 31, 2022</b>				
10. Transactions (Use lines a-c for single or combined multiple grant reporting)					<b>Cumulative</b>		
<b>Federal Cash (To report multiple grants separately, also use FFR Attachment):</b>							
a. Cash Receipts					\$13,028,257.00		
b. Cash Disbursements					\$13,028,257.00		
c. Cash on Hand (line a minus b)					\$0.00		
(Use lines d-o for single grant reporting)							
<b>Federal Expenditures and Unobligated Balance:</b>							
d. Total Federal funds authorized					\$13,028,257.00		
e. Federal share of expenditures					\$13,028,257.00		
f. Federal share of unliquidated obligations					\$0.00		
g. Total Federal share (sum of lines e and f)					\$13,028,257.00		
h. Unobligated balance of Federal funds (line d minus g)					\$0.00		
<b>Recipient Share:</b>							
i. Total recipient share required					\$897,990.00		
j. Recipient share of expenditures					\$897,990.00		
k. Remaining recipient share to be provided (line i minus j)					\$0.00		
<b>Program Income:</b>							
l. Total Federal share of program income earned					\$1,522,838.00		
m. Program income expended in accordance with the deduction alternative					\$0.00		
n. Program income expended in accordance with the addition alternative					\$1,522,838.00		
o. Unexpended program income (line l minus line m and line n)					\$0.00		
11. Indirect Expense	a. Type	b. Rate	c. Period From	Period To	d. Base	e. Amount Charged	f. Federal Share
	Provisional	4.7	October 1, 2021	March 31, 2022	\$22,019.00	\$1,034.89	\$0.00
g. Totals:					\$22,019.00	\$1,034.89	\$0.00
12. Remarks: Attach any explanations deemed necessary or information required by Federal sponsoring agency in compliance with governing legislation: "Please provide the following information:							
13. Certification: By signing this report, I certify to the best of my knowledge and belief that the report is true, complete, and accurate, and the expenditures, disbursements and cash receipts are for the purposes and intent set forth in the award documents. I am aware that any false, fictitious, or fraudulent information may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 18, Section 1001)							
a. Typed or Printed Name and Title of Authorized Certifying Official  <b>Flynn, Julie</b> <b>Deputy Secretary of State</b>				c. Telephone (Area code, number, and extension) <b>+1 (207) 624-7659</b> d. Email Address <b>julie.flynn@maine.gov</b>			
b. Signature of Authorized Certifying Official  <b>Flynn, Julie</b>				e. Date Report Submitted (Month, Day, Year) <b>April 27, 2022</b>			

Standard Form 425  
OMB Approval Number: 4040-0014  
Expiration Date: 02/28/2023

**Paperwork Burden Statement**

According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is 4040-0014. Public reporting burden for this collection of information is estimated to average 1 hour per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. If you have comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to: US Department of Health & Human Services, OS/OCIO/PRA, 200 Independence Ave, SW, Suite 336-E, Washington DC 20201. Attention: PRA Reports Clearance Officer

**FEDERAL FINANCIAL REPORT**

(Additional Page)

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Federal Agency & Organization : ELECTION ASSISTANCE COMMISSION

Federal Grant ID : ME11RP01

Recipient Organization : SECRETARY OF STATE, MAINE DEPT OF THE  
101 STATE HOUSE STATION CROSS OFC B, AUGUSTA, ME 043330101

UEI : JNNMDL9K4517

UEI Status when Certified : ACTIVE (as of 04/27/2022)

EIN : 1016000001N3

Reporting Period End Date : March 31, 2022

Status : Report Certified/Pending Agency Approval

Remarks : "Please provide the following information:

State interest earned (current fiscal year): \$0  
State interest expended (current fiscal year): \$0  
Program income earned (current fiscal year): \$0  
Program income earned breakdown (current fiscal year): \$ 0  
Program income expended (current fiscal year): \$0

Note: expenditure amounts in 11 d and 11 e reflect recipient share expenditures. For Item 11e, the actual amount charged the state was \$1,030, which is slightly less than the calculated amount, due to the rate in 11 b only showing 2 decimal places."

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**Federal Agency Review**

Reviewer Name :  
Phone # :  
Email :  
Review Date :  
Review Comments :

# 2021-2022 EAC Progress Report

## 3. EAC Progress Report

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**1. State or Territory:**

Maine

**2. Grant Number:**

ME11RP01

**3. Report:**

Final (Start of Grant - End)

**4. Grant:**

251

**5. Reporting Period Start Date**

May 7, 2003

**6. Reporting Period End Date**

03/31/2022

## 4. Progress and Narrative

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**7. Describe in detail what happened during this reporting period and explain how you implemented the approved grant activities in accordance with your State Plan/Program Narrative. (Note: Your activities should align with your Grant Cost Categories Table.)** *The following instruction was added after discussion with Maine Election office staff as the instruction to which they were responding for the final progress report. "Review and highlight all activities that occurred during the implementation of the project, including an assessment of your performance."*

Title II funds were used to implement and support Maine's first-ever central voter registration (CVR) system, which replaced disparate electronic and paper filing systems maintained in 500 separate municipalities. Initial implementation consisted of developing requirements and testing of application functionality for registering voters and tracking absentee ballots; data conversion and clean up; deploying local site computing equipment and central server equipment; and IT services and infrastructure (e.g., internet connectivity for municipalities that did not have sufficient/any internet prior to project implementation). After initial implementation, funds were spent on maintenance costs for local and central computing equipment and servers as well as annual maintenance for the application software. Additionally, after initial implementation, we enhanced the CVR software to provide a process to qualify/disqualify political parties; reporting of election results to the state; and preregistration of 16 year old citizens, and to add functionality for central processing of absentee applications and electronic delivery of blank ballots to UOCAVA voters. The second area of Title II expenses was to deploy Maine's first-ever accessible voting system at each municipality and voting place in Maine. In 2006, we deployed the Inspire Vote-by-Phone ballot marking system, which consisted of Software as a Service to create and provide audio ballots and a telephone user interface for each election, as well as to install and maintain analog fax lines in each voting place that allowed the voter to receive the marked ballot at the polling place by fax and deposit the ballot into the ballot box for counting. In early 2016, we selected a new accessible ballot marking device for use at all voting places - the ExpressVote Universal Voting system - that provides an audio/video user interface with accessible keypad and allows voters to plug in their personal assistive devices to access the system. We are leasing this system through 2023, with an option to extend through the end of 2027. We also used Title II funds to deploy a uniform, state-leased tabulation system for 325 municipalities (all those with over 700 voters). The State successfully deployed and has maintained the CVR, the digital/optical scan tabulation systems, and the accessible voting systems, providing uniformity of process and procedures in compliance with federal and state laws.

**8. Describe any significant changes to your program during the project, including changes to your original State Plan/Program Narrative or favorable developments that improved program efficiency and/or service delivery.**

N/A

**9. Issues Encountered:**

**Describe all major issues that arose during the implementation of the project and the reasons why established goals were not met, if applicable. Address each issue separately and describe whether and how the issues were**

resolved. Also, briefly discuss the implications of any unresolved issues or concerns.

N/A

**10. Provide a description of any training conducted, including security training.**

N/A. We used Title I and state general funds to pay for training activities, including cyber security training.

**11. Subgrants:**

**Did your office provide subawards to local jurisdictions during this reporting period?**

No

**12. Match:**

**Describe how you are meeting or have met the matching requirement.**

We received general fund budget allocations from the Maine Legislature to meet all applicable state match requirements.

**13. Report on the number and type of articles of voting equipment obtained with the funds. Include the amount expended on the expenditure table.**

The State procured analog fax machines for 500 municipalities for the 2006 deployment of the Inspire Vote-by-Phone accessible voting system. The equipment became obsolete and was retired in late 2015 and early 2016. We paid for the initial lease of 534 ExpressVote accessible ballot marking devices from federal funds for the first calendar year (2016) of the contract and have used state general funds to lease the devices since 2017. From 2012 - 2016, we leased 534 DS200 digital/optical scan tabulators. We have used state general funds to lease the tabulators since 2017.

**14. Impact:**

**Write an assessment of how your project has impacted the problems you were trying to solve. Were there unexpected benefits? Shortfalls?**

The projects funded with HAVA Title II funds enabled the State to implement a uniform, statewide solution for the CVR, tabulators, and accessible voting systems; providing uniformity of process for voters and election officials.

**15. Lessons Learned:**

**Provide a review of your successes and suggest ways that your experience may be helpful to others. Did you make permanent changes to your processes? What are some areas of potential improvement?**

Although we have managed to implement and maintain the programs required by HAVA, because we are a small office, we do not have sufficient staff to dedicate to specific projects. One area of improvement is to secured contracted services to assist with implementation of large projects in the future, so that we have dedicated resources to work on project implementation. We are doing this with our new CVR replacement project.

## 5. Expenditures

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### 16. Current Period Amount Expended and Unliquidated Obligations

#### GRANT COST CATEGORIES - FEDERAL

Voting Equipment and Processes: : \$6564638  
Voter Registration Systems: : \$7477074  
Indirect Costs (If applicable, FFR Line 11): : \$509383  
Total : \$14551095

**Comments:**

#### 17. GRANT COST CATEGORIES - MATCH

Voting Equipment and Processes: : \$332632  
Voter Registration Systems: : \$527895  
Indirect Costs (If applicable, FFR Line 11): : \$37463  
Total : \$897990

**Comments:**

## 7. Expenditures

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### 18. Confirm Total Grant Expenditure Amounts

Federal : \$14551095  
Match : \$897990  
Total : \$15449085

## 8. Certification

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**Name and Contact of the authorized certifying official of the recipient.**

**First Name**

Julie

**Last Name**

Flynn

**Title**

Deputy Secretary of State

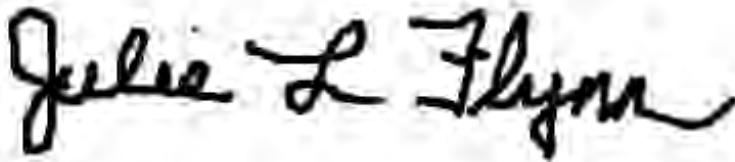
**Phone Number**

(207) 624-7736

**Email Address**

julie.flynn@maine.gov

**Signature of Certifying Official:**

A handwritten signature in black ink that reads "Julie L. Flynn". The signature is written in a cursive style with a large, prominent 'J' and 'F'.

Signature of: Julie L. Flynn