

APPENDIX C
NOTICES OF ANOMALY



NOTICE OF ANOMALY		DATE: 12/10/2013	
Notice No: 1	P.O. Number: ES&S-MSA-TA036	Contact No: N/A	
Customer: Election Systems & Software		Wyle Job Number: T71352.01	
Notification Made To: Sue McKay		Notification Date: 12/10/13	
Notification Made By: Alan Simmons		Via: Email	
Category: <input checked="" type="checkbox"/> Specimen <input type="checkbox"/> Procedure <input type="checkbox"/> Test Equipment		Date of Anomaly: 12/10/2013	
Part Name: EVS 5.0.1.0		Part Number: N/A	
Test: TDP Review		Serial/ID Number: N/A	
Specification: 2005 VVSG Volume 1		Paragraph/Section Number: Section 2	

REQUIREMENTS:

The ES&S EVS 5.0.1.0 Voting System Technical Data Package (TDP) shall be reviewed for accuracy, completeness, and compliance to the EAC 2005 VVSG.

DESCRIPTION OF ANOMALY:

Review of the submitted documentation revealed discrepancies between the TDP and the EAC 2005 VVSG requirements. Functional testing also identified text in the TDP that conflicted with the actual operations of the system. Each noted discrepancy was documented in detail in the Wyle generated TDP issues matrix that is on file as raw data.

DISPOSITION * COMMENTS * RECOMMENDATIONS:

EVS 5.0.1.0 is a Modification of a previously certified system. As such the TDP was only reviewed where modified or where impacted by system modification. ES&S corrected each nonconformance observation and resubmitted the associated documents for review. This process continued until it appeared that the TDP complied with all applicable requirements.

Potential 10 CFR Part 21 Yes No

Responsibility to analyze anomalies and comply with 10 CFR PART 21 Customer Wyle

CAR Required: Yes No CAR Number:

VERIFICATION

Test Witness: None

Project Engineer:

[Signature] 12-10-13

Representing: N/A

Project Manager:

[Signature] 12-10-13

Quality Assurance: *[Signature]* 12/10/2013



NOTICE OF ANOMALY		DATE: 12/10/2013
Notice No: 2	P.O. Number: ES&S-MSA-TA036	Contact No: N/A
Customer: ES&S		Wyle Job Number: T71352.01
Notification Made To: Sue McKay		Notification Date: 12/10/13
Notification Made By: Jim Falwell		Via: Email
Category: <input checked="" type="checkbox"/> Specimen <input type="checkbox"/> Procedure <input type="checkbox"/> Test Equipment		Date of Anomaly: 12/10/13
Part Name: DS200		Part Number: N/A
Test: Source Code Review		Serial/ID Number: N/A
Specification: 2005 VVSG Volume I		Paragraph/Section Number: Section 5

REQUIREMENTS:

Software used in voting systems shall meet the essential design and performance characteristics detailed in Section 5 of the EAC 2005 VVSG.

DESCRIPTION OF ANOMALY:

Review of the submitted source code modules comprising the EVS 5.0.1.0 system revealed deviations from the standard as well as issues with the commenting. These anomalies are documented in detail in the Wyle generated review reports on file as raw data.

DISPOSITION * COMMENTS * RECOMMENDATIONS:

Upon completion of the review for each source code submission, a technical summary report of all identified standards violations was sent to ES&S for resolution. ES&S then corrected the reported violations and re-submitted the source code for re-review. This process was repeated as many times as necessary until all identified standards violations were corrected.

Potential 10 CFR Part 21 Yes No

Responsibility to analyze anomalies and comply with 10 CFR PART 21 Customer Wyle

CAR Required: Yes No CAR Number:

VERIFICATION

Test Witness: None

Project Engineer: *[Signature]* 12/10/2013

Representing: N/A

Project Manager: *[Signature]* 12/10/13

Quality Assurance: *[Signature]* 12/10/2013