

U.S. Election Assistance Commission 633 3rd St. NW, Suite 200 Washington, DC 20001

U.S. Election Assistance Commission Freedom of Information Act Annual Report Fiscal Year 2024

Section I: Basic Information Regarding Report

- 1. Interested parties with questions regarding this report may contact:
 - Camden Kelliher, Acting General Counsel 633 3rd Street NW, Suite 200 Washington, DC 20001 (202) 360-3160 ckelliher@eac.gov
- 2. The report is available via the following link: <u>Election Assistance Commission</u> Website FOIA Reading Room
- 3. A copy of the Report in paper form can be obtained by contacting the EAC via phone, email, or mail. Contact information for the EAC is found at Contact U.S. EAC | U.S. Election Assistance Commission

Section II: Making a FOIA Request

- 1. Please direct FOIA requests to the following:
 - Seton Parsons
 Associate Counsel
 633 3rd Street NW, Suite 200 Washington, DC 20001
 (202) 360-3160
 sparsons@eac.gov
- 2. Please see below for a brief description of why some requests are not granted and an overview of certain general categories of the agency's records to which the FOIA exemptions apply:
 - Under the Freedom of Information Act, interested parties may request access to records held by the U.S. Election Assistance Commission. An important part of the EAC response process is ensuring that certain protected information is not improperly disclosed when records are provided. Such information is withheld if disclosure would harm an interest protected by one of the nine exemptions provided by FOIA. EAC records that are withheld from disclosure are typically protected by Exemptions 4, 5, and 6, which encompass privacy concerns, predecisional and deliberative processes, and trade secrets. From a policy perspective, these exemptions are important to the mission of the EAC to encourage open internal discussions on matters of
- 3. Below please find a link to agency FOIA regulations, including the agency's fee schedule. (NOTE: Agency FOIA Regulations were formerly listed at Section XI of the human-readable version of the Report).

 FOIA Reading Room | U.S. Election Assistance Commission

Section III: Acronyms, Definitions, and Exemptions

Component Abbreviation	Component Name
EAC	U.S. Election Assistance Commission

- a. Administrative Appeal a request to a federal agency asking that it review at a higher administrative level a FOIA determination made by the agency at the initial request level.
- b. Average Number the number obtained by dividing the sum of a group of numbers by the quantity of numbers in the group. For example, of 3, 7, and 14, the average number is 8.
- c. Backlog the number of requests or administrative appeals that are pending at an agency at the end of the fiscal year that are beyond the statutory time period for a response.
- d. Component for agencies that process requests on a decentralized basis, a "component" is an entity, also sometimes referred to as an Office, Division, Bureau, Center, or Directorate, within the agency that processes FOIA requests. The FOIA now requires that agencies include in their Annual FOIA Report data for both the agency overall and for each principal component of the agency.
- e. Consultation the procedure whereby the agency responding to a FOIA request first forwards a record to another agency or component within the same agency for its review because that other agency has an interest in the document. Once the agency in receipt of the consultation finishes its review of the record, it responds back to the agency or component within the same agency that forwarded it. That agency, in turn, will then respond to the FOIA requester.
- f. Exemption 3 Statute a federal statute that exempts information from disclosure and which the agency relies on to withhold information under subsection (b)(3) of the FOIA.
- g. FOIA Request a FOIA request is generally a request to a federal agency for access to records concerning another person (i.e., a "thirdparty" request), or concerning an organization, or a particular topic of interest. FOIA requests also include requests made by requesters seeking records concerning themselves (i.e., "first-party" requests) when those requesters are not subject to the Privacy Act, such as non U.S. citizens. Moreover, because all first-party requesters should be afforded the benefit of both the access provisions of the FOIA as well as those of the Privacy Act, FOIA requests also include any first-party requests where an agency determines that it must search beyond its Privacy Act "systems of records" or where a Privacy Act exemption applies, and the agency looks to FOIA to afford the greatest possible access. All requests which require the agency to utilize the FOIA in responding to the requester are included in this Report. Additionally, a FOIA request includes records referred to the agency for processing and direct response to the requester. It does not, however, include records for which the agency has received a consultation from another agency. (Consultations are reported separately in Section XII of this Report.)
- h. Full Grant an agency decision to disclose all records in full in response to a FOIA request.
- i. Full Denial an agency decision not to release any records in response to a FOIA request because the records are exempt in their entireties under one or more of the

- FOIA exemptions, or because of a procedural reason, such as when no records could be located.
- j. Median Number the middle, not average, number. For example, of 3, 7, and 14, the median number is 7.
- k. Multi-Track Processing a system in which simple requests requiring relatively minimal review are placed in one processing track and more voluminous and complex requests are placed in one or more other tracks. Requests granted expedited processing are placed in yet another track. Requests in each track are processed on a first in/first out basis.
 - i. Expedited Processing an agency will process a FOIA request on an expedited basis when a requester satisfies the requirements for expedited processing as set forth in the statute and in agency regulations
 - ii. Simple Request a FOIA request that an agency using multi-track processing places in its fastest (nonexpedited) track based on the low volume and/or simplicity of the records requested.
 - iii. Complex Request a FOIA request that an agency using multi-track processing places in a slower track based on the high volume and/or complexity of the records requested.
- 1. Partial Grant/Partial Denial in response to a FOIA request, an agency decision to disclose portions of the records and to withhold other portions that are exempt under the FOIA, or to otherwise deny a portion of the request for a procedural reason.
- m. Pending Request or Pending Administrative Appeal a request or administrative appeal for which an agency has not taken final action in all respects.
- n. Perfected Request a request for records which reasonably describes such records and is made in accordance with published rules stating the time, place, fees (if any) and procedures to be followed.
- o. Processed Request or Processed Administrative Appeal a request or administrative appeal for which an agency has taken final action in all respects.
- p. Range in Number of Days the lowest and highest number of days to process requests or administrative appeals.
- q. Time Limits the time period in the statute for an agency to respond to a FOIA request (ordinarily twenty working days from receipt of a perfected FOIA request).

Descriptions of the nine FOIA exemptions:

- a. Exemption 1: classified national defense and foreign relations information
- b. Exemption 2: information that is related solely to the internal personnel rules and practices of an agency
- c. Exemption 3: information that is prohibited from disclosure by another federal law
- d. Exemption 4: trade secrets and other confidential business information
- e. Exemption 5: inter-agency or intra-agency communications that are protected by legal privileges
- f. Exemption 6: information involving matters of personal privacy
- g. Exemption 7: records or information compiled for law enforcement purposes, to the extent that the production of those records (A) could reasonably be expected to interfere with enforcement proceedings, (B) would deprive a person of a right to a fair trial or an impartial adjudication, (C) could reasonably be expected to constitute an unwarranted invasion of personal privacy, (D) could reasonably be expected to disclose the identity of a confidential source, (E) would disclose techniques and procedures for law enforcement investigations or prosecutions, or would disclose guidelines for law enforcement investigations or prosecutions, or (F) could reasonably be expected to endanger the life or physical safety of any individual

- h. Exemption 8: information relating to the supervision of financial institutionsi. Exemption 9: geological information on wells

3. **Agency Component Abbreviations**

Component Abbreviation	Component Name
EAC	U.S. Election Assistance Commission

IV. Exemption 3 Statutes

Statute	Type of Information Withheld	Case Citation	Agency / Component	Number of Times Relied upon by Agency / Component	Total Number of Times Relied upon by Agency Overall
N/A	N/A	N/A	EAC	0	0

V.A. FOIA REQUESTS -- RECEIVED, PROCESSED AND PENDING FOIA REQUESTS

Agency / Component	Number of Requests Pending as of Start of Fiscal Year	Number of Requests Received in Fiscal Year	Number of Requests Processed in Fiscal Year	Number of Requests Pending as of End of Fiscal Year
EAC	189	98	42	245
AGENCY OVERALL	189	98	42	245

V.B.(1). DISPOSITION OF FOIA REQUESTS -- ALL PROCESSED REQUESTS

Num		Number of Full Denials Based on Reasons Other than Exemptions											
Agency / Compo nent	Num ber of Full Gran ts	ber of Parti al Gran ts / Parti al Deni als	Number of Full Denials Based on Exempt ions	No Reco rds	All Record s Referre d to Anothe r Compo nent or Agency	Reques t Withdr awn	Fee- Rela ted Reas on	Record s not Reason ably Describ ed	Impro per FOIA Reque st for Other Reaso n	Not Age ncy Reco rd	Dupli cate Reque st	Other *Expl ain in Chart Belo W	TOT AL

EAC	8	8	0	26	0	0	0	0	0	0	0	0	42
AGEN CY OVER ALL	8	8	0	26	0	0	0	0	0	0	0	0	42

V.B.(2). DISPOSITION OF FOIA REQUESTS -- "OTHER" REASONS FOR "FULL DENIALS BASED ON REASONS OTHER THAN EXEMPTIONS"

Agency / Component	Description of "Other" Reasons for Denials from Chart B(1)	Number of Times "Other" Reason Was Relied Upon	TOTAL
EAC	N/A	0	0
AGENCY OVERALL			0

V.B.(3). DISPOSITION OF FOIA REQUESTS -- NUMBER OF TIMES EXEMPTIONS APPLIED

Agency / Componen t	Ex . 1	Ex . 2	Ex . 3	Ex . 4	Ex . 5	Ex . 6	Ex. 7(A	Ex. 7(B	Ex. 7(C	Ex. 7(D	Ex. 7(E	Ex. 7(F	Ex . 8	Ex . 9
EAC	0	0	0	2	1	6	0	0	0	0	0	0	0	0
AGENCY OVERAL L	0	0	0	2	1	6	0	0	0	0	0	0	0	0

VI.A. ADMINISTRATIVE APPEALS OF INITIAL DETERMINATIONS OF FOIA REQUESTS -- RECEIVED, PROCESSED, AND PENDING ADMINISTRATIVE APPEALS

Agency / Component	Number of Appeals Pending as of Start	Number of Appeals Received	Number of Appeals Processed	Number of Appeals Pending as of End
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	of Fiscal Year	in Fiscal Year	in Fiscal Year	of Fiscal Year
EAC	0	5	5	0
AGENCY OVERALL	0	5	5	0

VI.B. DISPOSITION OF ADMINISTRATIVE APPEALS -- ALL PROCESSED APPEALS

Agency / Component	Number Affirmed on Appeal	Number Partially Affirmed & Partially Reversed/Remanded on Appeal	Number Completely Reversed/Remanded on Appeal	Number of Appeals Closed for Other Reasons	TOTAL
EAC	4	0	1	0	5
AGENCY OVERALL	4	0	1	0	5

VI.C.(1). REASONS FOR DENIAL ON APPEAL -- NUMBER OF TIMES EXEMPTIONS APPLIED

Agency / Componen t	Ex . 1	Ex . 2	Ex . 3	Ex . 4	Ex . 5	Ex . 6	Ex. 7(A	Ex. 7(B	Ex. 7(C	Ex. 7(D	Ex. 7(E)	Ex. 7(F	Ex . 8	Ex . 9
EAC	0	0	0	2	0	0	0	0	0	0	0	0	0	0
AGENCY OVERAL L	0	0	0	2	0	0	0	0	0	0	0	0	0	0

VI.C.(2). REASONS FOR DENIAL ON APPEAL -- REASONS OTHER THAN EXEMPTIONS

Agenc y/ Comp onent	No Rec ords	Reco rds Refe rred at	Reque st Withd rawn	Fee- Rela ted Rea son	Record s not Reaso nably Descri	Impr oper Requ est for	Not Age ncy Rec ord	Dupli cate Requ est or Appe	Requ est in Litiga tion	Appea l Based Solely on	Othe r *Exp lain in
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		Initia 1 Requ est Leve 1			bed	Other Reas ons		al		Denial of Reque st for Exped ited Proces sing	chart belo w
EAC	1	0	0	0	0	0	0	0	0	1	0
AGEN CY OVER ALL	1	0	0	0	0	0	0	0	0	1	0

VI.C.(3). REASONS FOR DENIAL ON APPEAL -- "OTHER" REASONS

Agency / Component	Description of "Other" Reasons for Denial on Appeal from Chart C(2)	Number of Times "Other" Reason Was Relied Upon	TOTAL
EAC	N/A	0	0
AGENCY OVERALL			0

VI.C.(4). RESPONSE TIME FOR ADMINISTRATIVE APPEALS

Agency / Component	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days
EAC	7	11	3	20
AGENCY OVERALL	7	11	3	20

VI.C.(5). TEN OLDEST PENDING ADMINISTRATIVE APPEALS

Agency / Component		10th Oldest Appeal	9th	8th	7th	6th	5th	4th	3rd	2nd	Oldest Appeal
	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
EAC	Number of Days Pending	0	0	0	0	0	0	0	0	0	0
AGENCY	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
OVERALL	Number of Days Pending	0	0	0	0	0	0	0	0	0	0

VII.A. FOIA REQUESTS -- RESPONSE TIME FOR ALL PROCESSED PERFECTED REQUESTS

		SIM	PLE			COM	PLEX			EXPEI PROCE		
Agenc y/ Comp onent	Med ian Num ber of Days	Aver age Num ber of Days	Low est Num ber of Days	High est Num ber of Days	Med ian Num ber of Days	Aver age Num ber of Days	Low est Num ber of Days	High est Num ber of Days	Med ian Num ber of Days	Aver age Num ber of Days	Low est Num ber of Days	High est Num ber of Days
EAC	17	266	1	1063	1002	754	13	1408	959	950	941	965
AGEN CY OVER ALL	17	266	1	1063	1002	754	13	1408	959	950	941	965

VII.B. PROCESSED REQUESTS -- RESPONSE TIME FOR PERFECTED REQUESTS IN WHICH INFORMATION WAS GRANTED

Agenc y/		SIM	PLE			COM	PLEX			EXPEI PROCE		
Comp	Med	Aver	Low	High	Med	Aver	Low	High	Med	Aver	Low	High
	ian	age	est	est	ian	age	est	est	ian	age	est	est
	Num	Num	Num	Num	Num	Num	Num	Num	Num	Num	Num	Num

	ber of Days											
EAC	449	483	1	1063	1408	1408	1408	1408	953	954	945	965
AGEN CY OVER ALL	449	483	1	1063	1408	1408	1408	1408	953	954	945	965

VII.C. PROCESSED SIMPLE REQUESTS -- RESPONSE TIME IN DAY INCREMENTS

Agency / Compon ent	<1- 20 Da ys	21- 40 Da ys	41- 60 Da ys	61- 80 Da ys	81- 100 Da ys	101 - 120 Da ys	121 - 140 Da ys	141 - 160 Da ys	161 - 180 Da ys	181 - 200 Da ys	201 - 300 Da ys	301 - 400 Da ys	401 + Da ys	TOT AL
EAC	21	2	0	0	0	0	0	0	0	0	1	1	8	33
AGENC Y OVERA LL	21	2	0	0	0	0	0	0	0	0	1	1	8	33

VII.C. PROCESSED COMPLEX REQUESTS -- RESPONSE TIME IN DAY INCREMENTS

Agency / Compon ent	<1- 20 Da ys	21- 40 Da ys	41- 60 Da ys	61- 80 Da ys	81- 100 Da ys	101 - 120 Da ys	121 - 140 Da ys	141 - 160 Da ys	161 - 180 Da ys	181 - 200 Da ys	201 - 300 Da ys	301 - 400 Da ys	401 + Da ys	TOT AL
EAC	1	0	0	0	0	0	0	0	0	0	0	1	3	5
AGENC Y OVERA LL	1	0	0	0	0	0	0	0	0	0	0	1	3	5

VII.C. PROCESSED REQUESTS GRANTED EXPEDITED PROCESSING -- RESPONSE TIME IN DAY INCREMENTS

Agency / Compon ent	<1- 20 Da ys	21- 40 Da ys	41- 60 Da ys	61- 80 Da ys	81- 100 Da ys	101 - 120 Da ys	121 - 140 Da ys	141 - 160 Da ys	161 - 180 Da ys	181 - 200 Da ys	201 - 300 Da ys	301 - 400 Da ys	401 + Da ys	TOT AL
EAC	0	0	0	0	0	0	0	0	0	0	0	0	4	4
AGENC Y OVERA LL	0	0	0	0	0	0	0	0	0	0	0	0	4	4

VII.D. PENDING REQUESTS -- ALL PENDING PERFECTED REQUESTS

		SIMPLE		(COMPLEX	X		XPEDITE ROCESSII	
Agency / Compone nt	Numb er Pendin g	Media n Numb er of Days	Averag e Numbe r of Days	Numb er Pendin g	Media n Numb er of Days	Averag e Numbe r of Days	Numb er Pendin g	Media n Numb er of Days	Averag e Numbe r of Days
EAC	219	523	472	25	747	611	1	951	951
AGENCY OVERAL L	219	523	472	25	747	611	1	951	951

VII.E. PENDING REQUESTS -- TEN OLDEST PENDING PERFECTED REQUESTS

Agency / Compone nt		10th Oldest Reque st	9th	8th	7th	6th	5th	4th	3rd	2nd	Oldest Reque st
	Date of Receip t	2020- 12-15	2020 -12- 10	2020 -11- 21	2020 -11- 19	2020 -11- 06	2020 -11- 05	2020 -11- 02	2020 -10- 26	2020 -10- 16	2020- 10-13
EAC	Numb er of Days	962	965	978	980	989	990	993	998	1004	1007

	Pendin g										
AGENC Y	Date of Receip t	2020- 12-15	2020 -12- 10	2020 -11- 21	2020 -11- 19	2020 -11- 06	2020 -11- 05	2020 -11- 02	2020 -10- 26	2020 -10- 16	2020- 10-13
OVERAL L	Numb er of Days Pendin g	962	965	978	980	989	990	993	998	1004	1007

VIII.A. REQUESTS FOR EXPEDITED PROCESSING

Agency / Component	Number Granted	Number Denied	Median Number of Days to Adjudicate	Average Number of Days to Adjudicate	Number Adjudicated Within Ten Calendar Days
EAC	0	4	9	9	4
AGENCY OVERALL	0	4	9	9	4

VIII.B. Requests for Fee Waiver

Agency / Component	Number Granted	Number Denied	Median Number of Days to Adjudicate	Average Number of Days to Adjudicate
EAC	2	4	16	17
AGENCY OVERALL	2	4	16	17

IX. FOIA Personnel and Costs

	I	PERSONNEL		COSTS				
Agency / Component	Number of "Full-Time FOIA Employees"	Number of "Equivalent Full-Time FOIA Employees"	Total Number of "Full- Time FOIA Staff"	Processing Costs	Litigation- Related Costs	Total Costs		
EAC	0	1.00	1.00	27300.00	15200.00	42500.00		
AGENCY OVERALL	0	1.00	1.00	27300.00	15200.00	42500.00		

X. Fees Collected for Processing Requests

Agency / Component	Total Amount of Fees Collected	Percentage of Total Costs	
EAC	0.00	0.0000	
AGENCY OVERALL	0.00	0.0000	

XI.A. Number of Times Subsection (C) Used

Agency / Component	Number of Times Subsection Used
EAC	0
AGENCY OVERALL	0

XI.B. Number of Subsection (A)(2) Postings

Agency / Component	Number of Records Posted by the FOIA Office	Number of Records Posted by Program Offices
EAC	1	42
AGENCY OVERALL	1	42

XII.A. Backlogs of FOIA Requests and Administrative Appeals

Agency / Component	Number of Backlogged Requests as of End of Fiscal Year	Number of Backlogged Appeals as of End of Fiscal Year
EAC	243	0
AGENCY OVERALL	243	0

XII.B. CONSULTATIONS ON FOIA REQUESTS -- RECEIVED, PROCESSED, AND PENDING CONSULTATIONS

Agency / Component	Number of Consultations Received from Other Agencies that were Pending at the Agency as of Start of the Fiscal Year	Number of Consultations Received from Other Agencies During the Fiscal Year	Number of Consultations Received from Other Agencies that were Processed by the Agency During the Fiscal Year	Number of Consultations Received from Other Agencies that were Pending at the Agency as of End of the Fiscal Year
EAC	1	1	2	0
AGENCY OVERALL	1	1	2	0

XII.C. CONSULTATIONS ON FOIA REQUESTS -- TEN OLDEST CONSULTATIONS RECEIVED FROM OTHER AGENCIES AND PENDING AT THE AGENCY

Agency / Compone nt		10th Oldest Consultatio n	9th	8th	7th	6th	5th	4th	3rd	2nd	Oldest Consultatio n
	Date	N/A	N/ A	N/A							
EAC	Numbe r of Days	0	0	0	0	0	0	0	0	0	0
AGENCY	Date	N/A	N/ A	N/A							
OVERAL L	Numbe r of Days	0	0	0	0	0	0	0	0	0	0

XII.D.(1). COMPARISON OF NUMBERS OF REQUESTS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- REQUESTS RECEIVED AND PROCESSED

		F REQUESTS EIVED	NUMBER OF REQUESTS PROCESSED		
Agency / Component			Number Processed During Fiscal Year from Last Year's Annual Report	Number Processed During Fiscal Year from Current Annual Report	
EAC	50	98	91	42	
AGENCY OVERALL	50	98	91	42	

XII.D.(2). COMPARISON OF NUMBERS OF REQUESTS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- BACKLOGGED REQUESTS

Agency / Component	Number of Backlogged Requests as of End of the Fiscal Year from Previous Annual Report	Number of Backlogged Requests as of End of the Fiscal Year from Current Annual Report
EAC	166	243
AGENCY OVERALL	166	243

XII.E.(1). COMPARISON OF NUMBERS OF ADMINISTRATIVE APPEALS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- APPEALS RECEIVED AND PROCESSED

Agency / Component	NUMBER OF APPEALS <u>RECEIVED</u>		NUMBER OF APPEALS PROCESSED	
	Number Received During Fiscal Year from Last Year's Annual Report	Number Received During Fiscal Year from Current Annual Report	Number Processed During Fiscal Year from Last Year's Annual Report	Number Processed During Fiscal Year from Current Annual Report
EAC	6	5	6	5
AGENCY OVERALL	6	5	6	5

XII.E.(2). COMPARISON OF NUMBERS OF ADMINISTRATIVE APPEALS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- BACKLOGGED APPEALS

Agency / Component	Number of Backlogged Appeals as of End of the Fiscal Year from Previous Annual Report	Number of Backlogged Appeals as of End of the Fiscal Year from Current Annual Report
EAC	0	0
AGENCY OVERALL	0	0