



**U.S. ELECTION ASSISTANCE COMMISSION**  
**Election Supporting Technology Evaluation Program**  
633 3rd Street NW, Suite 200  
Washington, DC 20001

September 6, 2024

**Sent via email**

Mike Santos, Voting System Test Lab Director  
SLI Compliance  
4720 Independence Street  
Wheat Ridge, CO 80033

**RE: Approval of KNOWiNK Poll Pad v.3.6 Test Plan**

Dear Mr. Santos,

This letter is to inform you that Revision v2.0 of the test plan submitted by SLI Compliance for the testing of the KNOWiNK Poll Pad v.3.6 electronic poll book has been reviewed and approved by the U.S. Election Assistance Commission (EAC). A test plan is evaluated against criteria as specified in Section 5.3 of the EAC's Election Supporting Technology Evaluation Program Manual (ESTEP Manual) and is approved based on the information submitted. Test plan approval indicates the following:

- Approval simply signifies that the tests proposed, if performed properly, appear to be sufficient to fully test the system. A final determination of the sufficiency of the testing is a global evaluation based on the test plan, test cases, and test report reviews, as well as the EAC's Quality Monitoring Process outlined in Chapter 10 of the ESTEP Manual.
- Approval allows the test laboratory to begin test case development, testing, and test report submittal.
- A test plan is approved based on the information submitted. It is the manufacturer's responsibility to determine if relevant information was omitted that would affect the testing campaign. Any omitted information must be submitted immediately to the EAC.
- The test plan is a living document and is expected to change and be updated during various phases of the testing life cycle. A final version that reflects all the testing completed (e.g. TDP, Hardware, and Software) should be submitted to the EAC at the completion of testing. If this final "as run" test plan does not reflect all the testing required, the EAC reserves the right to request further updates to the test plan and possibly additional testing.

We would also like to take this opportunity to remind you of the EAC's requirements following approval of a test plan. Section 5.7 of the ESTEP Manual requires test laboratories to report any changes to an electronic poll book or an approved test plan directly to the EAC. Under this

section, Manufacturers are required to enable test laboratories to report all test failures or anomalies directly to the EAC. In addition, for further guidance on this matter, please refer to Section 5.3.1 for information regarding the reporting requirements for changes to a system or test plan during testing, and Section 5.7.2 for information regarding the reporting requirements for anomalies or failures found during testing.

Section 5.8 of the ESTEP Manual lays out the various requirements for the submission and approval of a test report.

If you have any questions regarding the approval of the test plan or need further information regarding the EAC's electronic poll book certification process, please contact your assigned specialist or [estep@eac.gov](mailto:estep@eac.gov). Thank you for your time and attention to this matter.

Sincerely,

A handwritten signature in black ink, appearing to read "Jay Phelps". The signature is fluid and cursive, with the first name "Jay" and last name "Phelps" clearly distinguishable.

Jay Phelps  
Director, Election Supporting Technology Evaluation Program  
U.S. Election Assistance Commission

Cc: KNOWiNK