

FEDERAL FINANCIAL REPORT

(Follow form instructions)

| | |
|--------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------|
| 1. Federal Agency and Organizational Element to Which Report is Submitted ELECTION ASSISTANCE COMMISSION | 2. Federal Grant or Other Identifying Number Assigned by Federal Agency (To report multiple grants, use FFR Attachment) TX20101001 |
|--------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------|

3. Recipient Organization (Name and complete address including Zip code)
Secretary of State, Texas
1019 Brazos Street, Austin, TX 787012413

| | | | | |
|---------|---------|---------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------|
| 4a. UEI | 4b. EIN | 5. Recipient Account Number or Identifying Number (To report multiple grants, use FFR Attachment) | 6. Report Type <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Semi-Annual <input type="checkbox"/> Annual <input type="checkbox"/> Final | 7. Basis of Accounting <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual |
|---------|---------|---------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------|

| | |
|---------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------|
| 8. Project/Grant Period (Month, Day, Year) From: March 28, 2018 To: September 30, 2019 | 9. Reporting Period End Date (Month, Day, Year) March 31, 2022 |
|---------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------|

10. Transactions Cumulative
(Use lines a-c for single or combined multiple grant reporting)

Federal Cash (To report multiple grants separately, also use FFR Attachment):

| | |
|----------------------------------|-----------------|
| a. Cash Receipts | \$49,449,808.00 |
| b. Cash Disbursements | \$33,769,822.55 |
| c. Cash on Hand (line a minus b) | \$15,679,985.45 |

(Use lines d-o for single grant reporting)

Federal Expenditures and Unobligated Balance:

| | |
|----------------------------------------------------------|-----------------|
| d. Total Federal funds authorized | \$49,449,808.00 |
| e. Federal share of expenditures | \$33,769,822.55 |
| f. Federal share of unliquidated obligations | \$0.00 |
| g. Total Federal share (sum of lines e and f) | \$33,769,822.55 |
| h. Unobligated balance of Federal funds (line d minus g) | \$15,679,985.45 |

Recipient Share:

| | |
|--------------------------------------------------------------|----------------|
| i. Total recipient share required | \$6,402,071.00 |
| j. Recipient share of expenditures | \$2,435,189.51 |
| k. Remaining recipient share to be provided (line i minus j) | \$3,966,881.49 |

Program Income:

| | |
|-------------------------------------------------------------------------|----------------|
| l. Total Federal share of program income earned | \$1,208,118.42 |
| m. Program income expended in accordance with the deduction alternative | \$0.00 |
| n. Program income expended in accordance with the addition alternative | \$1,181,187.14 |
| o. Unexpended program income (line l minus line m and line n) | \$26,931.28 |

| 11. Indirect Expense | a. Type | b. Rate | c. Period From | Period To | d. Base | e. Amount Charged | f. Federal Share |
|----------------------|---------|---------|----------------|-----------|---------|-------------------|------------------|
| | | | | | | | |
| g. Totals: | | | | | \$0.00 | \$0.00 | \$0.00 |

12. Remarks: Attach any explanations deemed necessary or information required by Federal sponsoring agency in compliance with governing legislation:
Please provide the following information:

13. Certification: By signing this report, I certify to the best of my knowledge and belief that the report is true, complete, and accurate, and the expenditures, disbursements and cash receipts are for the purposes and intent set forth in the award documents. I am aware that any false, fictitious, or fraudulent information may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 18, Section 1001)

| | |
|----------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------|
| a. Typed or Printed Name and Title of Authorized Certifying Official Glotzer, Dan Election Funds Manager | c. Telephone (Area code, number, and extension) d. Email Address |
| b. Signature of Authorized Certifying Official Glotzer, Dan | e. Date Report Submitted (Month, Day, Year) April 29, 2022 |

Standard Form 425
OMB Approval Number: 4040-0014
Expiration Date: 02/28/2025

Paperwork Burden Statement
According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is 4040-0014. Public reporting burden for this collection of information is estimated to average 1 hour per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. If you have comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to: US Department of Health & Human Services, OS/OCIO/PRA, 200 Independence Ave, SW, Suite 336-E, Washington DC 20201. Attention: PRA Reports Clearance Officer

FEDERAL FINANCIAL REPORT

(Additional Page)

Federal Agency & Organization : ELECTION ASSISTANCE COMMISSION

Federal Grant ID : TX20101001

Recipient Organization : Secretary of State, Texas
1019 Brazos Street, Austin, TX 787012413

UEI :

UEI Status when Certified :

EIN :

Reporting Period End Date : March 31, 2022

Status : Awarding Agency Approval

Remarks : Please provide the following information:

State interest earned (current fiscal year): \$0

State interest expended (current fiscal year): \$0

Program income earned (current fiscal year): \$0

Program income earned breakdown (current fiscal year): \$ Source: e.g. Sale of registration list

Program income expended (current fiscal year): \$0

Federal Agency Review

Reviewer Name

Phone #

Email

Review Date

Review Comments

2021-2022 EAC Progress Report

3. EAC Progress Report

1. State or Territory:

Texas

2. Grant Number:

TX20101001

3. Report:

Semi-Annual (Oct 1 - March 31)

4. Grant:

Election Security

5. Reporting Period Start Date

10/01/2021

6. Reporting Period End Date

03/31/2022

4. Progress and Narrative

7. Describe in detail what happened during this reporting period and explain how you implemented the approved grant activities in accordance with your State Plan/Program Narrative. (Note: Your activities should align with your Grant Cost Categories Table.)

During the reporting period, Texas used funding to make security enhancements to its HAVA-mandated voter registration system ("TEAM"). The Texas Secretary of State's Office ("SOS") worked with its voter registration system software vendor to make security updates to the TEAM system, including election management integration. SOS also partnered with the Texas Department of Information Resources ("DIR") to provide election security

assessments to Texas counties through DIR's Managed Security Services program. Also through that program, SOS used funds for remediation services, a security assessment of the TEAM system, and darknet monitoring services. SOS also hired two election security trainers to provide technical assistance to counties regarding election security. Lastly, any county that received an ESA was eligible to apply for an Election Security sub-grant of up to \$120,000. As of the end of this reporting period, \$21,853,916.84 in federal funds has been distributed to 216 counties.

8. Describe any significant changes to your program during the project, including changes to your original State Plan/Program Narrative or favorable developments that improved program efficiency and/or service delivery.

N/A

9. Issues Encountered:

Describe all major issues that arose during the implementation of the project and the reasons why established goals were not met, if applicable. Address each issue separately and describe whether and how the issues were resolved. Also, briefly discuss the implications of any unresolved issues or concerns.

N/A

10. Provide a description of any training conducted, including security training.

Through the ESA program and various webinars, Texas has trained numerous local election and IT staff members. In addition, SOS has developed a number of templates and procedural documents to assist counties with the development of election security policies and procedures. SOS trainers continue to provide technical assistance and advisement to the counties.

11. Subgrants:

Did your office provide subawards to local jurisdictions during this reporting period?

Yes

12. Describe the activities carried out by your subgrantees during the reporting period.

As stated, upon completion of the ESA, the county is eligible for an election security sub-grant up to \$120,000. The counties can use the funds for voting equipment, election auditing, voter registration system enhancements, cyber security, and communications. All expenditures must be related to election security. To-date, the largest share of expenditures have been for paper-verifiable voting equipment with cyber security enhancements being the second most category of expenditures.

Provide a breakdown of aggregate subawards expenditures across major categories.

Voting Equipment : \$1,489,904.74

Election Auditing : \$16,362.84

Voter Registration Systems : \$121,066.30

Security : \$210,403.66

Communications : \$6,217.46

Total : \$1843955

13. Match:

Describe how you are meeting or have met the matching requirement.

The state secured \$1,162,630 in matching funds from the Texas legislature in response to the 2018 Election Security grant. Due to the additional funds appropriated by Congress in 2020, SOS is requesting an additional \$1.175MM from the legislature for anticipated expenditures by SOS. The remaining \$4mm in match is being made by the counties through the election security sub-grants. Because the grant funds were advanced to the counties, funds are still being expended and reported to SOS. Texas fully anticipates the minimum match to be met or exceeded by the end of the grant period.

14. Report on the number and type of articles of voting equipment obtained with the funds. Include the amount expended on the expenditure table.

| COUNTY | PAY_DATE | PURPOSE_AREA | UNITS | PER_UNIT | DESCRIPTION |
|----------|------------|------------------|-------|-----------|-----------------------------------|
| Burleson | 11/22/2021 | Voting Equipment | 57 | 6,227.98 | Verity Duo Voting System |
| Goliad | 12/9/2021 | Voting Equipment | 11 | 5,625.00 | Model DS200 Scanner |
| Irion | 10/26/2021 | Voting Equipment | 7 | 7,148.62 | Verity Scan, box, bag, kits |
| Irion | 10/26/2021 | Voting Equipment | 8 | 5,999.83 | Verity Conversions |
| Kimble | 12/14/2021 | Voting Equipment | 7 | 10,269.56 | ELECTION EQUIPMENT |
| King | 2/9/2022 | Voting Equipment | 4 | 6,942.00 | 4 New voting machines; 2 scanners |
| Lipscomb | 11/18/2021 | Voting Equipment | 1 | 20,497.72 | new implementation services |
| Terry | 11/22/2021 | Voting Equipment | 1 | 29,099.30 | Express Vote System w/DS200 |

5. Expenditures

15. Current Period Amount Expended and Unliquidated Obligations

GRANT COST CATEGORIES - FEDERAL

Voter Registration Systems: : \$830608

Cyber Security: : \$62003

Subgrants: : \$1843955

Total : \$2736566

Comments:

16. GRANT COST CATEGORIES - MATCH

Cyber Security: : \$159516

Subgrants: : \$12784

Total : \$172300

Comments:

7. Expenditures

17. Confirm Total Grant Expenditure Amounts

Federal : \$2,736,566.00

Match : \$172,300.00

Total : \$2908866

OMB CONTROL NUMBER: 3265-0020

8. Certification

Name and Contact of the authorized certifying official of the recipient.

First Name

Dan

Last Name

Glotzer

Title

Election Funds Manager

Phone Number

Email Address

18. Add another contact to send a copy of submission confirmation and edit link?

Signature of Certifying Official:



Signature of: Dan Glotzer

9. Report Submitted to EAC



Thank you. Your Semi-Annual (Oct 1 - March 31) progress report for Election Security has been submitted to the EAC. Please keep the PDF download of your submission as grant record.