

**FEDERAL FINANCIAL REPORT**

(Follow form instructions)

1. Federal Agency and Organizational Element to Which Report is Submitted <b>ELECTION ASSISTANCE COMMISSION</b>					2. Federal Grant or Other Identifying Number Assigned by Federal Agency (To report multiple grants, use FFR Attachment) <b>IN20101001</b>		
3. Recipient Organization (Name and complete address including Zip code) <b>Secretary Of State, Indiana 200 W WASHINGTON ST STE 201, INDIANAPOLIS, IN 462042731</b>							
4a. DUNS Number		4b. EIN		5. Recipient Account Number or Identifying Number (To report multiple grants, use FFR Attachment)		6. Report Type <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Semi-Annual <input type="checkbox"/> Annual <input type="checkbox"/> Final	7. Basis of Accounting <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual
8. Project/Grant Period (Month, Day, Year) From: <b>March 28, 2018</b> To: <b>September 30, 2019</b>					9. Reporting Period End Date (Month, Day, Year) <b>March 31, 2021</b>		
<b>10. Transactions</b>							Cumulative
<i>(Use lines a-c for single or combined multiple grant reporting)</i>							
<b>Federal Cash (To report multiple grants separately, also use FFR Attachment):</b>							
a. Cash Receipts						\$16,140,537.00	
b. Cash Disbursements						\$15,897,096.30	
c. Cash on Hand (line a minus b)						\$243,440.70	
<i>(Use lines d-o for single grant reporting)</i>							
<b>Federal Expenditures and Unobligated Balance:</b>							
d. Total Federal funds authorized						\$16,140,537.00	
e. Federal share of expenditures						\$15,897,096.30	
f. Federal share of unliquidated obligations						\$0.00	
g. Total Federal share (sum of lines e and f)						\$15,897,096.30	
h. Unobligated balance of Federal funds (line d minus g)						\$243,440.70	
<b>Recipient Share:</b>							
i. Total recipient share required						\$2,088,844.00	
j. Recipient share of expenditures						\$1,401,530.00	
k. Remaining recipient share to be provided (line i minus j)						\$687,314.00	
<b>Program Income:</b>							
l. Total Federal share of program income earned						\$193,730.45	
m. Program income expended in accordance with the deduction alternative						\$0.00	
n. Program income expended in accordance with the addition alternative						\$0.00	
o. Unexpended program income (line l minus line m and line n)						\$193,730.45	
11. Indirect Expense	a. Type	b. Rate	c. Period From	Period To	d. Base	e. Amount Charged	f. Federal Share
g. Totals:					\$0.00	\$0.00	\$0.00
12. Remarks: Attach any explanations deemed necessary or information required by Federal sponsoring agency in compliance with governing legislation: Please provide the following information:							
<b>13. Certification: By signing this report, I certify to the best of my knowledge and belief that the report is true, complete, and accurate, and the expenditures, disbursements and cash receipts are for the purposes and intent set forth in the award documents. I am aware that any false, fictitious, or fraudulent information may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 18, Section 1001)</b>							
a. Typed or Printed Name and Title of Authorized Certifying Official <b>Stanton, Christina Accounting Director</b>					c. Telephone (Area code, number, and extension)		
					d. Email Address		
b. Signature of Authorized Certifying Official <b>Stanton, Christina</b>					e. Date Report Submitted (Month, Day, Year) <b>June 10, 2021</b>		

Standard Form 425  
OMB Approval Number: 4040-0014  
Expiration Date: 02/28/2022

**Paperwork Burden Statement**

According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is 4040-0014. Public reporting burden for this collection of information is estimated to average 1 hour per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. If you have comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to: US Department of Health & Human Services, OS/OIG/PHR, 200 Independence Ave, SW, Suite 336-E, Washington DC 20201. Attention: PHR Reports Clearance Officer

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(Additional Page)

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Federal Agency & Organization : ELECTION ASSISTANCE COMMISSION

Federal Grant ID : IN20101001

Recipient Organization : Secretary Of State, Indiana  
200 W WASHINGTON ST STE 201, INDIANAPOLIS, IN 462042731

DUNS Number :

DUNS Status when Certified : ACTIVE (as of 06/10/2021)

EIN :

Reporting Period End Date : March 31, 2021

Status : Awarding Agency Approval

Remarks : Please provide the following information:

State interest earned (current fiscal year): \$0..... Note: correcting previous FFR which reported federal interest earned (\$12,098.56) instead of state interest. \$0 For State Interest earned

State interest expended (current fiscal year): \$0

Program income earned (current fiscal year): \$0

Program income earned breakdown (current fiscal year): \$ Source: e.g. Sale of registration list - \$0

Program income expended (current fiscal year): \$0

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**Federal Agency Review**

Reviewer Name : [Redacted]

Phone # : [Redacted]

Email : [Redacted]

Review Date : [Redacted]

Review Comments :

# EAC Progress Report

Response ID:360 Data

## 1. Login

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## 2. Verification

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## 3. EAC Progress Report

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### 1. State or Territory:

Indiana

### 2. Grant Number:

IN20101001-01

### 3. Report:

Semi-Annual (Oct 1 - March 31)

### 4. Grant:

**Please select only one.**

Election Security

### 5. Reporting Period Start Date

10/01/2020

### 6. Reporting Period End Date

03/31/2021

### 7. Recipient Organization:

#### Organization Name

Indiana Secretary Of State

#### Street Address

200 W Washington St Rm 201

#### City

Indianapolis

**State**

IN

**Zip**

46204

## 4. Progress and Narrative

### 8. Describe in detail what happened during this reporting period and explain how you implemented the approved grant activities.

This letter provides a narrative of Indiana's Semiannual Progress Report that covers the HAVA Election Security Grant Funds reporting period of October 1, 2019 to March 31, 2021 and the expenses of the Indiana Secretary of State's office to meet the federal match requirement. As outlined in our previous reports to the EAC, we have continued to improve the state's cybersecurity posture while making significant investments in election integrity.

We appreciate the release of \$7,595,088 in HAVA election security funds in 2018 and the additional \$8,545,449.00 in 2019, which, in combination with the state match requirement, will be used to continue Indiana's investment in election security. Indiana anticipates to spend these funds by December 2022.

During this reporting period, Indiana made significant investments in election security through voting equipment upgrades. It was our priority to purchase new voting equipment for counties that did not have a voter-verifiable paper audit trail (VVPAT). In March of 2020, the State of Indiana was on track to exhaust the majority of these funds prior to the 2020 General Election by replacing voting equipment for the eligible counties. However, these efforts were delayed due to the growing COVID-19 pandemic.

In the summer of 2020, we resumed these discussions and, as of the data of this letter, the equipment for all eligible counties has been purchased. This came at a significant cost, but aids in expanding public confidence in election integrity. The final cost of county equipment upgrades was \$7,806,932.30. Of the total upgrade costs, \$6,590,687.40 was spent during this reporting period.

Additionally, during this reporting period, election security funds were invested in penetration testing and remediation as a result of this testing.

### 9. Describe any significant changes to your program during the project, including changes to your original State Plan/Program Narrative or favorable developments that improved program efficiency and/or service delivery.

**Otherwise enter N/A.**

There were no significant changes to our program. Originally, the estimated preliminary costs for county equipment upgrades ranged from \$4,560,514 to \$8,962,453. As stated, the final cost of fulfilling this priority was \$7,806,932.30, which was consistent with our estimate. The remaining federal election security funds are dedicated for cybersecurity investments.

### 10. Issues Encountered:

**Describe all major issues that arose during the implementation of the project and the reasons why established goals were not met, if applicable. Address each issue separately and describe whether and how the issues were resolved. Also, briefly discuss the implications of any unresolved issues or concerns.**

**Otherwise enter N/A.**

In March of 2020, Indiana was on track to upgrade voting equipment for select counties. While we planned to purchase and deploy VVPAT compatible equipment for the counties with the highest needs prior to the 2020 General Election, COVID-19 disrupted this effort.

Eligible counties were offered the opportunity to upgrade their election equipment before the 2020 General Election, but in light of the pandemic, we allowed counties to delay the deployment. Despite the challenges of 2020, four counties elected to deploy this equipment before the 2020 General Election.

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**11. Provide a description of any security training conducted.**

**Otherwise enter N/A.**

N/A

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**12. Subgrants (if applicable):**

**Describe how you made funds available to local jurisdictions.**

**Provide a description of the major categories of subgrant activities local voting districts will accomplish with the funds.**

**Otherwise enter N/A.**

N/A

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**13. Match (if applicable):**

**Describe how you are meeting the matching requirement.**

**Otherwise enter - match not required.**

The plans in this narrative are consistent with those submitted in previous reports, and Indiana's implementation has experienced little modification. In 2019, Indiana was appropriated \$10,000,000 by the Indiana General Assembly for election security, which we have used to meet our match requirement thus far. To date, Indiana has met 60% of the state match using these appropriated funds.

Indiana has approximately \$1,744,000 remaining in state-appropriated election security funds. This appropriation will be used to meet the remaining 40%, or \$678,000, state match.

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## 5. Expenditures

### 14. Current Period Amount Expended and Unliquidated Obligations

#### GRANT COST CATEGORIES

	Federal	Match
Voting Equipment and Processes:	\$6,590,687.40	\$297,500.00
Post-Election Auditing:	\$0.00	
Voter Registration Systems:	\$0.00	
Cyber Security:	\$473,096.00	\$732,711.00
Communications:		
Total	\$7,080,303.40	\$1,030,776.00
Statewide Voter Registration System Maintenance	\$16,520.00	\$565.00

OMB CONTROL NUMBER: 3265-0020

## 6. Certification

Name and Contact of the authorized certifying official of the recipient.

**First Name**

Mackenzie

**Last Name**

Nicol

**Title**

Executive Assistant

**Phone Number**

**Email Address**

Signature of Certifying Official:



Signature of: Mackenzie Nicol

## 7. Report Submitted to EAC

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**Thank you, your progress report has been submitted to EAC. Please keep the PDF download of your submission as grant record.**